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**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US96/17464

**A. CLASSIFICATION OF SUBJECT MATTER:**

IPC (6):

G02F 1/13, 1/1335; G02B 5/30, 27/28; C09K 19/36; G02C 7/12; F21V 9/14

**B. FIELDS SEARCHED**

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, DIALOG

search terms: pitch, gradient, nematic, smectic, cholesteric, chiral nematic, oligomer, monomer, polymer, polarizer, polymer matrix, cationic polymerization, segregation, phase separation, polymerization, broad band, wide band

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/17464

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :Please See Extra Sheet.

US CL :359/37, 51, 52, 65, 500; 252/299.7, 585

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 359/37, 51, 52, 65, 500; 252/299.7, 585

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A , P	US 5,506,704 A (BROER et al.) 09 April 1996, col. 9, lines 23-37, col. 10, lines 61-62, col. 11, line 16, through col. 12, line 10.	1-96
A	US 5,427,828 A (PARK) 27 June 1995, col. 1, lines 49-61, col. 6, lines 10-33, col. 8, line 62, through col. 9, line 3.	6, 18, 23, 29, 30, 42, 47, 54, 56, 59, 72, 83
A	US 4,637,896 A (SHANNON) 20 January 1987, col. 3, lines 3-20 and 33-41.	1-96
A	US 4,388,453 A (FINKELMANN et al.) 14 June 1983, see the entire document.	15
A	US 3,650,603 A (HEILMEIER et al.) 21 March 1972, see the entire document.	6, 30, 72, 83

Further documents are listed in the continuation of Box C.  See patent family annex.

•	Special categories of cited documents:	
"A"	document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"O"	document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

08 DECEMBER 1996

Date of mailing of the international search report

24 DEC 1996

Name and mailing address of the ISA/US  
Commissioner of Patents and TrademarksBox PCT  
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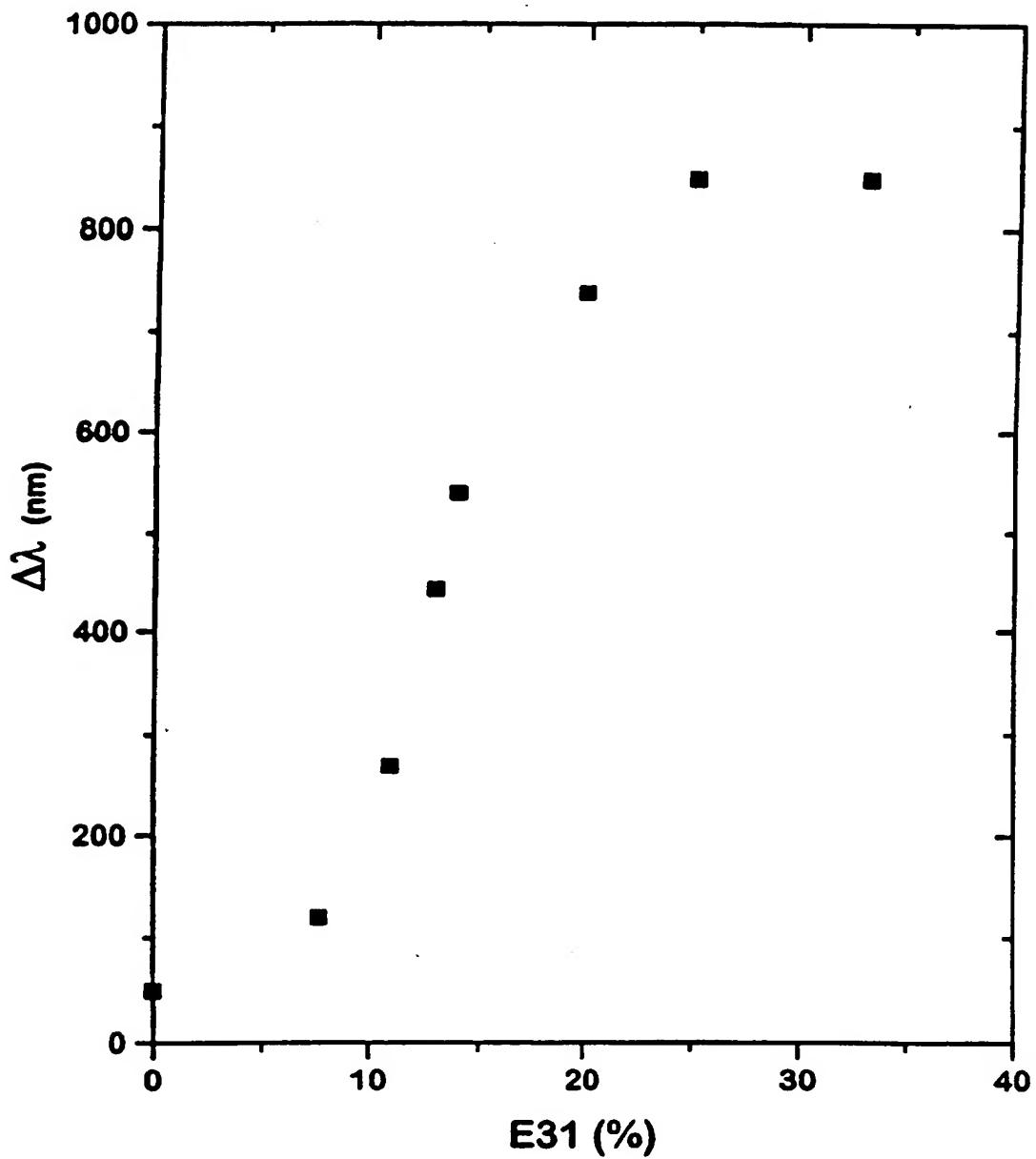
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WALTER J. MALINOWSKI

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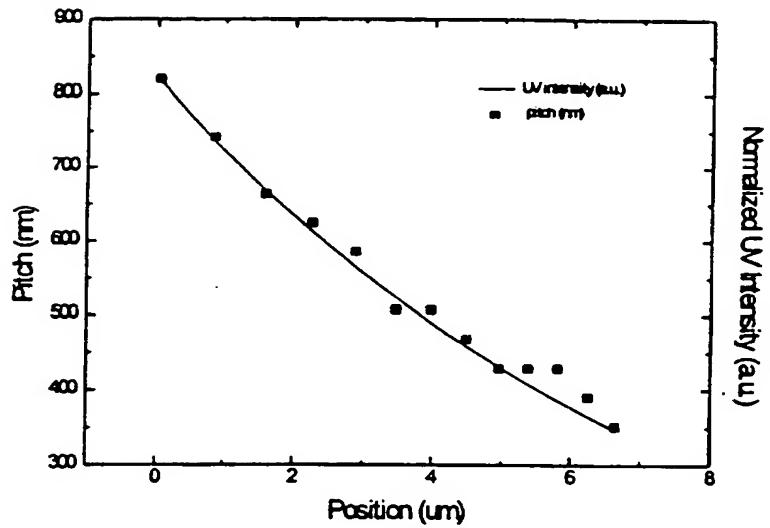
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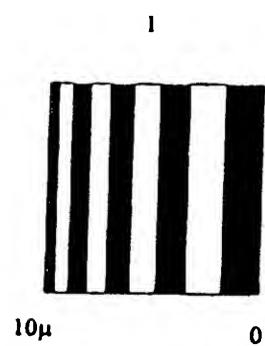
• Bandwidth as A Function of Nematic Concentration  
(20 micron film)



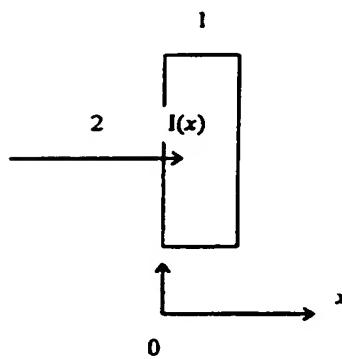
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FIG. 6

**FIG. 5**



**FIG.4**



**FIG.3**

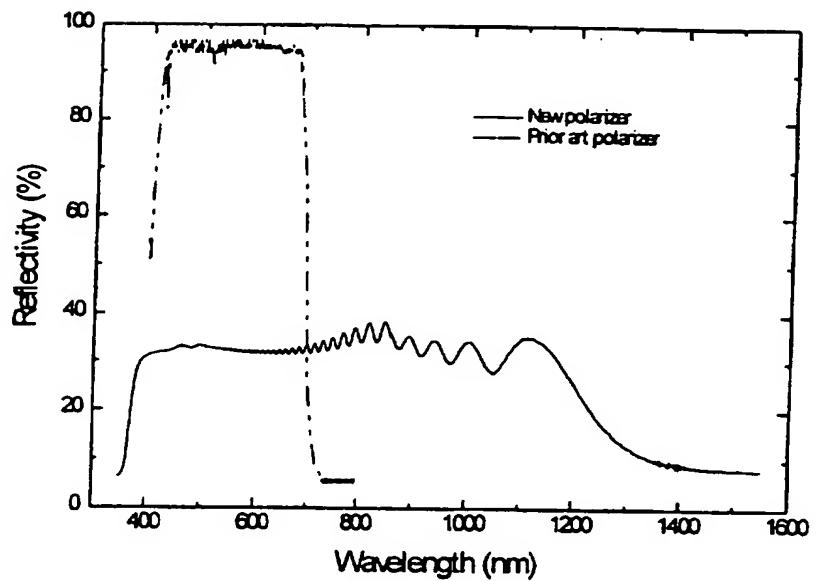


FIG. 2

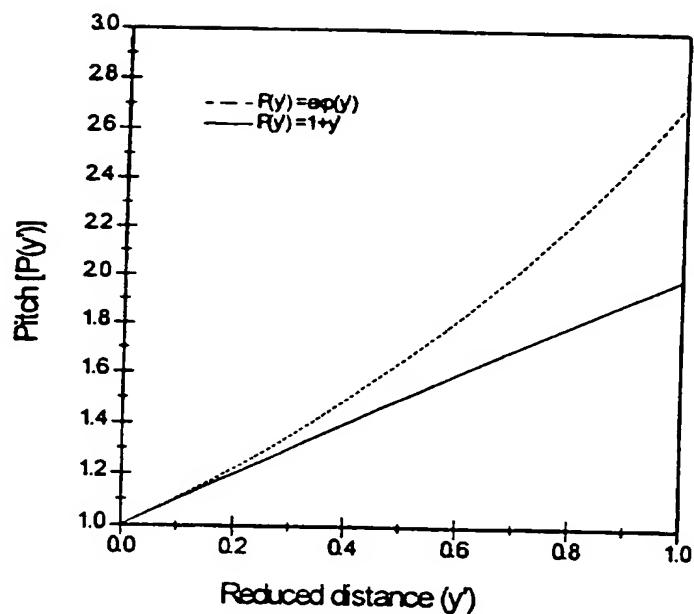


FIG. 1

94. The method according to claim 78 wherein the step of forming includes the step of:

mixing said only one liquid crystal material and said at least one chiral material in a given ratio by weight to form a mixture.

95. A single layer polarizer comprising:

a film of a liquid crystal material and a chiral material, one of said materials having a non-linear distribution in said film across the thickness of said film at similarly non-linearly distributed sites disposed in the other of said materials

96. A method of forming a single layer polarizer comprising the steps of:

forming a film from a liquid crystal material and a chiral material, one of said materials having a non-linear distribution in said film across the thickness of said film at similarly non-linearly distributed sites disposed in the other of said materials

85. The method according to claim 78 wherein said at least one chiral material is non-cross-linkable.

86. The method according to claim 78 wherein said at least one chiral material is polymerizable.

87. The method according to claim 78 wherein said at least one chiral material does not have a mesogenic group.

88 The method according to claim 78 wherein said at least one chiral material does have a mesogenic group.

89. The method according to claim 25 wherein said at least one material having a cholesteric order is thermally polymerizable.

90. The method according to claim 25 wherein said at least one material having a cholesteric order is thermally polymerizable via photon absorption.

91. The method according to claim 25 wherein said at least one liquid crystal material is thermally polymerizable.

92. The method according to claim 25 wherein said at least one liquid crystal material is thermally polymerizable via photon absorption.

93. The method according to claim 25 wherein said at least one material having a cholesteric order is an acrylate liquid crystal.

77. The broad-band polarizer according to claim 67 wherein said at least one chiral material does have a mesogenic group.

78. A method of fabricating a broad-band polarizer comprising the step of:

forming a film from only one liquid crystal material and at least one chiral material such that said only one liquid crystal material is distributed non-linearly across the thickness of said film in a plurality of similarly non-linearly distributed sites having pitches greater than said at least given pitch in said only one liquid crystal material.

79. The method according to claim 78 wherein said only one liquid crystal material is a polymer.

80. The method according to claim 78 wherein said only one liquid crystal material is a monomer.

81. The method according to claim 78 wherein said only one liquid crystal material is an oligomer.

82. The method according to claim 78 wherein said only one liquid crystal material is a non-crosslinkable liquid crystal.

83. The method according to claim 78 wherein said only one liquid crystal material is a nematic.

84. The method according to claim 78 wherein said only one liquid crystal material has at least one permanently attached chiral group.

68. The broad-band polarizer according to claim 67 wherein said only one liquid crystal material is a polymer.

69. The broad-band polarizer according to claim 67 wherein said only one liquid crystal material is a monomer.

70. The broad-band polarizer according to claim 67 wherein said only one liquid crystal material is an oligomer.

71. The broad-band polarizer according to claim 67 wherein said only one liquid crystal material is a non-crosslinkable liquid crystal.

72. The broad-band polarizer according to claim 67 wherein said only one liquid crystal material is a nematic.

73. The broad-band polarizer according to claim 67 wherein said only one liquid crystal material has at least one permanently attached chiral group.

74. The broad-band polarizer according to claim 67 wherein said at least one chiral material is non-cross-linkable.

75. The broad-band polarizer according to claim 67 wherein said at least one chiral material is polymerizable.

76. The broad-band polarizer according to claim 67 wherein said at least one chiral material does not have a mesogenic group.

chemically attached with a chiral group.

61. The broad-band polarizer according to claim 1 wherein said material having a cholesteric order is a nematic mixed with at least one chiral additive.

62. The broad-band polarizer according to claim 1 wherein said at least one material having a cholesteric order is thermally polymerizable.

63. The broad-band polarizer according to claim 1 wherein said at least one material having a cholesteric order is thermally polymerizable which is induced via photon absorption.

64. The broad-band polarizer according to claim 1 wherein said at least one liquid crystal material

65. The broad-band polarizer according to claim 1 wherein said at least one liquid crystal material is thermally polymerizable which is induced via photon absorption.

66. The broad-band polarizer according to claim 1 wherein said at least one material having a cholesteric order is an acrylate.

67. A broad-band polarizer comprising:

a film in cholesteric order of only one liquid crystal material and sites of non-linearly varying pitch across the thickness of said film and at least one chiral material.

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of irradiating said mixture with electromagnetic radiation to polymerize at least one of said at least one material having a cholesteric order and said at least one liquid crystal material.

56. A method according to claim 54 wherein the step of irradiating said mixture includes the step of applying actinic radiation to said mixture.

57. A method of forming a single layer polarizer comprising the steps of:

forming a film from a first liquid crystal material and a second liquid crystal material, one of said materials having a non-linear distribution in said film across the thickness of said film at similarly non-linearly distributed sites disposed in the other of said materials.

58. A method of forming a single layer polarizer comprising the steps of:

forming a film from a first liquid crystal material and a second liquid crystal material, one of said materials having a non-linear distribution in said film across the thickness of said film at similarly non-linearly distributed sites disposed in the other of said materials.

59. The broad-band polarizer according to claim 1 wherein said material having a cholesteric order is a non-cross-linkable low molecular weight liquid crystal compound.

60. The broad-band polarizer according to claim 1 wherein said material having a cholesteric order is

said at least one material having a cholesteric order and said at least one liquid crystal material is in the liquid state.

48. A method according to claim 25 wherein at least one of said at least one material having a cholesteric order and said at least one liquid crystal material is in the solid state.

49. A method according to claim 25 wherein the step of forming includes the step of:

mixing said at least one material having a cholesteric order and said at least one liquid crystal material in a given ratio by weight to form a mixture.

50. A method according to claim 44 wherein said given ratio by weight is 2:1.

51. A method according to claim 48 wherein said given ratio by weight of said at least one material having a cholesteric order and said at least one liquid crystal material is in a range of 1:3 to 6:1.

52. A method according to claim 48 further including the step of adding a photoinitiator into said mixture.

53. A method according to claim 48 further including the step of adding a chiral additive to said mixture.

54. A method according to claim 51 further including the step of heating said mixture at a temperature sufficient to maintain said mixture in a liquid state.

55. A method according to claim 53 further including the step

39. A method according to claim 25 wherein said at least one material having a cholesteric order is a cyclic liquid crystal.

40. A method according to claim 25 wherein said at least one material having a cholesteric order is a material which is polymerized by cationic polymerization.

41. A method according to claim 25 wherein said at least one liquid crystal material is a material which is polymerized by cationic polymerization.

42. A method according to claim 25 wherein said at least one liquid crystal material is a low molecular weight material.

43. A method according to claim 25 wherein said film has a thickness sufficient to totally reflect incident circularly polarized electromagnetic radiation.

44. A method according to claim 25 wherein said film reflects incident circularly polarized electromagnetic radiation in the visible spectrum.

45. A method according to claim 25 wherein said film reflects incident circularly polarized electromagnetic radiation in the infrared portion of the electromagnetic spectrum.

46. A method according to claim 25 wherein said film reflects incident circularly polarized electromagnetic radiation in the ultraviolet portion of the electromagnetic spectrum.

47. A method according to claim 25 wherein at least one of

liquid crystal material is a smectic liquid crystal.

32. A method according to claim 25 wherein said at least one material having a cholesteric order is photopolymerizable.

33. A method according to claim 25 wherein said at least one liquid crystal material is photopolymerizable.

34. A method according to claim 25 wherein at least one of said at least one material having a cholesteric order and said at least one liquid crystal material is polymerizable.

35. A method according to claim 25 wherein the segregation rate of said at least one liquid crystal material is greater than the polymerization rate of whichever of said materials is polymerized.

36. A method according to claim 25 wherein the segregation rate of said at least one liquid crystal material is greater than the polymerization rate of said at least one material having a cholesteric order.

37. A method according to claim 25 wherein the segregation rate of said at least one liquid crystal material is greater than its polymerization rate.

38. A method according to claim 25 wherein said at least one material having a cholesteric order is a cholesteric liquid crystal.

24. A broad-band polarizer according to claim 1 wherein at least one of said at least one material having a cholesteric order and said at least one liquid crystal material is in the solid state.

25. A method of fabricating a broad-band polarizer comprising the step of:

forming a film from at least one material having a cholesteric order and at least a given pitch and at least one liquid crystal material such that said at least one liquid crystal material is distributed non-linearly across the thickness of said film in a plurality of similarly non-linearly distributed sites having pitches greater than said at least given pitch in said at least one material having a cholesteric order.

26. A method according to claim 25 wherein said at least one material having a cholesteric order is a polymer.

27. A method according to claim 25 wherein said at least one material having a cholesteric order is a monomer.

28. A method according to claim 25 wherein said at least one material having a cholesteric order is an oligomer.

29. A method according to claim 25 wherein said at least one material having a cholesteric order is a low molecular weight material.

30. A method according to claim 25 wherein said at least one liquid crystal material is a nematic liquid crystal.

31. A method according to claim 25 wherein said at least one

which is polymerized by cationic polymerization.

17. A broad-band polarizer according to claim 1 wherein said at least one liquid crystal material is a material which is polymerized by cationic polymerization.

18. A broad-band polarizer according to claim 1 wherein said at least one liquid crystal material is a low molecular weight material.

19. A broad-band polarizer according to claim 1 wherein said film has a thickness sufficient to totally reflect incident circularly polarized electromagnetic radiation.

20. A broad-band polarizer according to claim 1 wherein said film reflects incident circularly polarized electromagnetic radiation in the visible spectrum.

21. A broad-band polarizer according to claim 1 wherein said film reflects incident circularly polarized electromagnetic radiation in the infrared portion of the electromagnetic spectrum.

22. A broad-band polarizer according to claim 1 wherein said film reflects incident circularly polarized electromagnetic radiation in the ultraviolet portion of the electromagnetic spectrum.

23. A broad-band polarizer according to claim 1 wherein at least one of said at least one material having a cholesteric order and said at least one liquid crystal material is in the liquid state.

at least one liquid crystal material is photopolymerizable.

10. A broad-band polarizer according to claim 1 wherein at least one of said at least one material having a cholesteric order and said at least one liquid crystal material which is polymerizable.

11. A broad-band polarizer according to claim 1 wherein the segregation rate of said at least one liquid crystal material is greater than the polymerization rate of whichever of said materials is polymerized.

12. A broad-band polarizer according to claim 1 wherein the segregation rate of said at least one liquid crystal material, is greater than the polymerization rate of said at least one material having a cholesteric order.

13. A broad-band polarizer according to claim 1 wherein the segregation rate of said at least one liquid crystal material is greater than the polymerization rate of said material having a cholesteric order.

14. A broad-band polarizer according to claim 1 wherein said at least one material having a cholesteric order is a cholesteric liquid crystal.

15. A broad-band polarizer according to claim 1 wherein said at least one material having a cholesteric order is a cyclic liquid crystal siloxane.

16. A broad-band polarizer according to claim 1 wherein said at least one material having a cholesteric order is a material

**CLAIMS TO INVENTION**

1. A broad-band polarizer comprising:  
a film of at least one material having a cholesteric order and sites of non-linearly varying pitch across the thickness of said film and  
at least one liquid crystal material similarly non-linearly distributed across the thickness of said film and disposed at said sites.
2. A broad band polarizer according to claim 1 wherein said non-linearly varying pitch is an exponentially varying pitch.
3. A broad-band polarizer according to claim 1 wherein said material having a cholesteric order is a polymer.
- 4 A broad-band polarizer according to claim 1 wherein said material having a cholesteric order is a monomer.
5. A broad-band polarizer according to claim 1 wherein said material having a cholesteric order is an oligomer.
6. A broad-band polarizer according to claim 1 wherein said at least one said liquid crystal material is a nematic liquid crystal.
7. A broad-band polarizer according to claim 1 wherein said at least one liquid crystal material is a smectic liquid crystal.
8. A broad-band polarizer according to claim 1 wherein said at least one material having a cholesteric order is photopolymerizable.
9. A broad-band polarizer according to claim 1 wherein said

clearly indicates that bandwidth can be controlled by controlling the intensity of UV radiation.

While the preferred embodiments have been characterized as having an exponential distribution of CLC helical pitches, it should be appreciated that departures from an exact exponentially distribution can be tolerated without departing from the spirit of the present invention. Thus, impurities in the materials, radiant energy variations and polymerization variations may cause a departure from an ideal exponential function providing liquid crystal distributions across the thickness of the resulting polarizers which can only be described as being non-linear in character. Departures from the ideal exponential function do not appear to affect the enhancements in bandwidths obtained when the distribution is exponential.

The single layer polarizers of the present application can be fabricated to cover a broad portion of the electromagnetic spectrum including visible, infrared and ultraviolet ranges. From all the foregoing, it should be seen that super broad-band polarizers may be obtained using the technique of the present application without the use of dyes or diffusion gradients in the polymerizable CLC material.

The modifications described above are merely exemplary. It is understood that other modifications to the illustrative embodiments will readily occur to persons with ordinary skill in the art. All such modifications and variations are deemed to be within the scope and spirit of the present invention as defined by the accompanying Claims to Invention.

available from EM Industries, Germany. Multiple compound mixtures of liquid crystal materials like E31 and E7 commercially available from EM Industries, Germany, and ZLI-2309 and ZLI-5800-100 commercially available from EM Industries, Germany, may also be utilized in the practice of the present invention. All of these liquid crystals are in nematic phase at room temperature except K24 which is in the smectic phase at room temperature. These liquid crystals, when combined with a polymerizable CLC material and a photoinitiator produce polarizers of super broad bandwidth of at least 700nm. Finally, at low concentrations of liquid crystal material in the polymerizable CLC material, smaller than a ratio of 1/6 by weight, the resulting bandwidth falls off sharply indicating that low concentrations of nematic material are a limiting factor. Also, it should be appreciated that high concentrations of nematic to CLC, like a ratio of 2/3, results in a high reflection if the mixture is polymerized at a suitably lower temperature; otherwise light scattering is induced if the mixture is cured at a non-suitably high temperature.

In above examples, specific UV intensities were prescribed to provide broad-band polarizers. To the extent that polymerization rate is linked to the intensity of incident UV radiation, when a nematic like E7 is used with a CLC material like CC4039L in a ratio of 1/2, the bandwidth of the resulting polarizer decreases as the UV intensity increases. At an intensity of 0.047 mW/cm<sup>2</sup>, for example, the resulting bandwidth is 980nm. At an intensity of 0.97 mW/cm<sup>2</sup>, the resulting bandwidth is 700nm if cured at 92°C and, if cured at 92°C at 7.1 mW/cm<sup>2</sup>, the resulting bandwidth is 280nm. This

concentration decreases the bandwidth of the polarizer.

The fifth approach controls the polarizer spectrum by changing the concentration of nematic liquid crystals. For example, using a liquid crystal mixture consisting of E31 in CC4039L with a 0.6% IG184 photoinitiator with respect to the CC4039L compound, different mixtures are filled into 20 glass cells with rubbed polyimide coatings. All the samples are cured at 92°C by a UV intensity of 0.047mW/cm<sup>2</sup>. As clearly shown in Fig.6, the bandwidth can be increased by changing the concentration of nematic liquid crystals (E31) in the raw starting mixture.

Most of the above described examples demonstrate that commercially available polymerizable CLC and liquid crystal materials may be utilized to fabricate super broadband polarizing film structures hereof. However, it should be appreciated that the fabrication techniques of the present invention can also be used with any cyclic liquid crystal siloxane, wherein the mesogenic group is attached to a siloxane backbone by hydrosilylation, as well as with any other liquid crystal polymers, such as acrylate, etc.

Similarly, while the nematic liquid crystal material utilized in the above examples are all commercially available, it should also be appreciated that any low molecular weight, non-polymerizable nematic liquid crystal material may be utilized in the practice of the present invention. Also, as shown in Example 4, polymerizable nematics may be used so long as their relative diffusion rate is greater than their polymerization rate.

The nematics utilized may be single compound liquid crystals like K15, K24 and M15 which are commercially  
3)

The first approach controls the spectral bandwidth of the circularly polarizing film material hereof by controlling the thickness thereof. For example, using the materials E31/CC4039L=1:2 by weight with 0.6% IG184, the polarizer bandwidth can be increased from 580nm to 800nm when the film thickness is changed from 5 to 20. Thereafter, the polarizer films are cured under a UV intensity of 0.047mW/cm<sup>2</sup> at 92° C.

The second approach controls the spectral bandwidth of the circularly polarizing film material hereof by changing the concentration of the chiral additive. For example, using the material E31/CC4039L=1:2 by weight with 0.6% IG184, with a film thickness of 20, the polarizer film is cured under a UV intensity of 0.047mW/cm<sup>2</sup> at 70° C. When the S1011 chiral additive concentration is increased from 0 to 6.6%, the bandwidth is decreased from 980nm to 460nm. In addition, with the increase in chiral concentration, the center wavelength has a "blue" shift toward to shorter wavelength.

The third approach controls the polarizer spectrum with changes in curing temperature. For example, using materials E31/CC4039L=1:2 by weight with 0.6% IG184, with a film thickness of 20, the samples are cured under a UV intensity of 0.047mW/cm<sup>2</sup>. If the curing temperature is lowered from 92° C to 70° C, the center wavelength has a "red" shift toward a longer wavelength.

The fourth approach controls the polarizer spectrum by changing the photoinitiator concentration. For example, using materials E31/CC4039L=1:2 by weight, with a film thickness of 20, the samples are cured under a UV intensity of 0.047mW/cm<sup>2</sup> at 92° C. Generally, increasing the photoinitiator (IG184)

### Example 12

In this example, a broad-band CLC polarizer is fabricated based on an acrylate liquid crystal compound in cholesteric order mixed with non-crosslinkable nematic liquid crystals. Two polymerizable acrylate cholesteric liquid crystal compounds, CM 95 and CM 94 (BASF, Aktiengesellschaft, Ludwigshafen, German), reflecting right-handed circular polarization at blue and red wavelength, respectively, are used in this example. The blue compound, CM95, is mixed with a non-cross-linkable nematic M15 (EMI) and photo initiator IG184 (Cyba Geigy) at a ratio of CM:M15:IG184 = 2:1:0.06 by weight. The mixture is filled into a 20 glass cell with rubbed polyimide coating and cured at 35°C with a suitable UV irradiation for a sufficiently long time. The resulted broad-band polarizer reflects right-handed light from 590nm to 900nm, with a bandwidth about 310nm. Other nematic materials such as E7, etc., also can broaden the polarizing bandwidth when mixed with the acrylate CLC and exposed to UV light.

#### Controlling The Bandwidth And Spectral Position Of The CLC Polarizing Material Of The Present Invention

Bandwidth as well as the spectral position (i.e. "tuning") of the CLC circularly polarizing film material of the present application can be controlled in a number of ways, for a variety of purposes (e.g. imparting color characteristics to the CLC pigments hereof, designing the filtering characteristics of the polarizers hereof, etc.). Below, several techniques are described for carrying out such functions using any of the embodiments of the CLC circularly polarizing material of the present invention.

available from Wacker, GbmH, Germany mixed with a non-polymerizable nematic E7 and a chiral additive R1011 both of which are commercially available from EM Industries, Germany. The polymerizable CLC material (CC4039L) has a 5 left-handed twist structure while the chiral additive (R1011) has a right-handed twist structure. The materials E7/CC4039L/R1011/IG184 are present in a mixture in ratios of 1/2/0.1/0.012 by weight. IG184 is a photoinitiator. The mixture was introduced into a 20 thick glass cell with a rubbed 10 polyimide coating and was cured at a UV intensity of 0.047 mW/cm<sup>2</sup> at 82°C. In this example, the cholesteric liquid crystal material (CC4039L) polymerizes while the nematic (E7) remains in the liquid state after curing. As with the other examples, the segregation rate of the non-polymerizable liquid crystal is 15 greater than the polymerization rate of the polysiloxane. After polymerization, spectral analysis of the resulting samples was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra were taken with the left-hand, right-hand and unpolarized light. The resulting CLC 20 circularly polarizing film reflects left-handed circularly polarized radiation and covers a spectral band pass from 800nm to 1428nm, providing a super broad bandwidth polarizer of over 600nm in the near infrared (IR) region of the electromagnetic spectrum. In this example, the chiral additive 25 is utilized to control the band position and different concentrations will control the band passes available.

broadband CLC-based ink formed thereby, the CLC ink was screen-printed onto a radiation absorbing substrate (i.e. providing a black background). It is understood, however, that the CLC ink can be applied to virtually any radiation absorbing 5 surface, having either 2-D or 3-D surface characteristics. The extinction ratio of the CLC ink was checked with a pair of orthogonal circular polarizers. Since the CLC flakes still preserve the left-handed polarization property (i.e., reflects left-handed circular polarization light) a bright and white light 10 is seen reflected from the ink through a left-handed polarizer covering the painted ink. Otherwise, a black background is seen as if the ink is covered with a right-handed circular polarizer. Spectral analysis of the resulting CLC ink was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both 15 transmission and reflection spectra were taken with the left-hand, right-hand and unpolarized light.

The super broad-band circularly polarizing material of the present invention can be used to fabricate CLC paints using any of the techniques disclosed in Applicant's US Patent No. 20 5,364,557. In order to impart color characteristics to the CLC pigments (e.g. ink and/or paint) hereof, any of the techniques described herein below in the section entitled "Controlling The Bandwidth And Spectral Position Of The Circularly Polarizing Material Of The Present Invention" can be used with excellent 25 results.

#### Example 11

In this example, the CLC material utilized is a left-handed 30 polymerizable polysiloxane CLC (CC4039L) commercially

observed after the lamination operation. After lamination, spectral analysis of the resulting quasi-broadband linear polarizer was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra  
5 were taken.

Optionally, the free-standing CLC circularly polarizing film of the present invention can be laminated onto other types of phase-retardation plates to achieve either a circularly or linearly polarizing film structure, as desired or required. In  
10 connection therewith, reference is made to Applicant's US Patent No. 5,221,982, incorporated herein by reference, for an excellent tutorial and overview on the principles of polarization state conversion (i.e. linear-to-circular, circular-to-linear, linear-to-linear, circular-to-circular, unpolarized-to-linear, and  
15 unpolarized-to-circular).

#### Example 10

In this example, a method is described for fabricating a  
20 special broad-band polarizing ink based on the super broad-band circularly polarizing material of the present invention. According to the method, free standing broad-band circularly polarizing film is made as described above. The free standing film is then mechanically fractured into micro-sized flakes  
25 using any of the techniques described in Applicant's US Patent No. 5,364,557 entitled "Cholesteric Liquid Crystal Inks", incorporated herein by reference. Thereafter, the CLC flakes are mixed with a carrier liquid such as lacquer (for example, PUL Varnish, Marabu, German) which is optically clear and  
30 thermally curable. To test the optical properties of the

material. The material mixture contains CLM001CC/M15/IG184 = 2/1/0.06 by weight. The mixture was filled into a 20 glass cell with a rubbed polyimide. The sample was cured at 80°C by a UV intensity of 0.011mW/cm<sup>2</sup>.

5 The resulted film reflects from 370nm to 770nm. After polymerization and while supported on the glass substrate, spectral analysis of the resulting circularly polarizing film material was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra 10 were taken with the left-hand, right-hand and unpolarized light. Thereafter, one of the glass substrate was mechanically removed. Next, the broad-band film was peeled away from the remaining glass substrate. A free standing broad-band polarizing film has been obtained. The film spectra before and 15 after pealing demonstrates that the optical property of the free standing broad-band polarizing film has not been changed. The produced broad-band circularly polarizing film is very flexible and can be bent arbitrarily to any degree. In addition, it can be laminated onto any substrates.

20

#### Example 9

In this example, a method is described for fabricating a quasi-broadband linear polarizer based on the free standing 25 CLC circularly polarizing film. This linear polarizer has been obtained by laminating the said produced free standing CLC polarizing film directly onto a quarter wavelength plate or sheet, such as a stretched sheet of polyvinyl alcohol (PVA). The lamination is performed with the help from a laminator. Again, 30 no degradation of the optical property has been obviously

Example 7

In this example, broad-band CLC circularly polarizing film material is made using a newly developed short pitch CLC 5 liquid crystal polymer. This material (code name is CLM001CC, from Wacker, German) reflects left-handed circular polarization light at a selective reflection wavelength 309nm. Once mixed with suitable amount of photoinitiator (such as IG184, Cyba Geigy), the CLC material can be UV polymerized. In order to 10 make broad-band polarizing film material, the short pitch polymerizable CLC material is mixed with a low molecular weight non-cross-linkable nematic material E7 (EMI). The material composition for broad-band polarizer is CLM001CC/E7/IG184 = 0.157/0.065/0.0047 by weight. The 15 mixture is filled into a 20 glass cell with a rubbed polyimide coating. After exposed to a suitable UV intensity for a sufficient time at 70°C, a broad-band CLC polarizer has been obtained which reflects almost 50% of an un-polarized light from 370nm to 850nm. Similar result has been obtained by 20 mixing other non-cross-linkable nematic liquid crystals, such as M15 (Merck). After polymerization, spectral analysis of the resulting samples was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra were taken with the left-hand, right-hand and 25 unpolarized light.

Example 8

In this example, a method is described for fabricating 30 free standing broad-band CLC circularly polarizing film

be any one mentioned throughout this application. The typical plastic substrate used is PET. The PET surface may or may not be necessarily treated with a rubbed polyimide coating. If no polyimide coating is required, the whole manufacture process 5 becomes much simpler. The only treatment required of the PET substrate is mechanical rubbing its bare surface. The CLC mixture is applied onto one of the plastic substrate, then covered with a second PET sheet. Thereafter, the whole package is fed into a laminator at a suitable temperature. After 10 lamination, a uniform CLC film is obtained between of the two pieces of plastic sheet material. The film is then subjected to a UV exposure with a suitable intensity for a sufficiently long time at a temperature of 80°C. A super broad-band CLC polarizer is obtained between plastic sheets. The optical 15 property, including the extinction ratio, is similar to that between two glass substrates with rubbed polyimide. Finally, one of the plastic substrates can be peeled off so that one surface is free of substrate. The above-described method has the following advantages: (1) the overall polarizer thickness is 20 dramatically reduced to 0.25mm due to the very thin plastic sheets; (2) the polarizer is mechanically flexible; (3) the manufacture procedure is simple; (4) larger size polarizer can be made; and (5) cost is substantially reduced. After 25 polymerization, spectral analysis of the resulting circularly polarizing film material was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra were taken with the left-hand, right-hand and unpolarized light.

simply with chiral additive will create a similar super broad-band polarizer. The same mechanism, i.e., polymerization induced molecular re-distribution (PIMRD), is still valid in this example. Since all the components of the chiral additive (i.e., 5 R1011, CB15, CE1) are non-cross-linkable, the chiral molecules experience a phase separation and segregation from the nematic polymer network during polymerization. The segregated chiral molecules start to diffuse along the UV propagation direction, resulting in an accumulation and 10 depletion of the chiral molecules in sites where the CLC pitch get shorter and longer, respectively. Finally, a pitch gradient is formed. Notably, the chiral additive is in a plural compound format. As evidenced by a separate experiment, two of the chiral compounds, i.e., CB15 and CE1, are phase separated from 15 the liquid crystal polymer network and diffuse along the UV propagation direction during polymerization. However, the third chiral compound, R1011, does not show obvious evidence of phase separation and diffusion. After polymerization, spectral analysis of the resulting circularly polarizing film 20 material was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra were taken with the left-hand, right-hand and unpolarized light.

25

### Example 6

In this example, a method is described for fabricating the super broad-band CLC circularity polarizing material on a plastic substrate, which, possibly, has one surface free of 30 substrate. The liquid crystal mixture used in this example can

film material reflects left-handed circularly polarized radiation and covers a spectral band pass from 430nm to 1050nm providing a super broad bandwidth polarizer of 620nm. After polymerization, spectral analysis of the resulting circularly 5 polarizing film material was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra were taken with the left-hand, right-hand and unpolarized light.

10

Example 5

In this example, the mixture consists of cross-linkable siloxane polymer in nematic order and chiral additive plus photoinitiator (IG184). Notably, no non-cross-linkable nematic 15 liquid crystals (such as E31) are added. The siloxane nematic polymer (CN 4000) is from Wacker (German). The chiral additive consists of R1011, CB15, and CE1 (all from Merck, EMI). The mixture is CN4000/R1011/CB15/CE1/IG184 = 0.75:0.03:0.11:0.017, by weight. The mixture was then 20 filled into a 20 glass cell with rubbed polyimide coating. After subjected to a UV exposure of around 0.2mW/cm<sup>2</sup> for a sufficient time at a temperature of 80°C, a broad-band reflective polarizer was obtained which has a bandwidth from 360 to 750nm. Since the chiral additive has a right-handed 25 sense, this polarizer reflects right-handed circular polarization light. The importance of this example is that non-cross-linkable low molecular weight nematic liquid crystals are not necessarily needed to create super broad-band circularly polarizing film material, in accordance with the teachings of the 30 present invention. A nematic liquid crystal polymer mixed

19 spectrophotometer. Both transmission and reflection spectra were taken with the left-hand, right-hand and unpolarized light

5

Example 4

In this example, the CLC material utilized is non-polymerizable while the nematic liquid crystal is polymerizable providing a super broad-band polarizing material in which the 10 CLC is in the liquid crystal state after curing. To create a suitable non-polymerizable CLC material, the liquid crystal material E31 is mixed with another liquid crystal material ZLI-2309 and a chiral additive S1011 all of which are commercially available from EM Industries, Germany. The resulting non- 15 polymerizable CLC material is of low molecular weight and the chiral additive induces a left-handed spiral structure in the mixture. E31/ZLI-2309/S1011 are mixed together in a ratio of 1/1/0.2 by weight. The CLC material is then mixed with a polymerizable nematic liquid crystal polymer material CN 4000 20 in a ratio of 1:2 by weight. CN 4000 is commercially available from Wacker GmbH, Germany. The mixture along with a photoinitiator IG184 of 0.6% by weight is introduced into a cell formed from two pieces of rubbed polyimide coated glass substrates 20 apart and cured at a UV intensity of 0.047 25 mW/cm<sup>2</sup> at a temperature of 70° C. Here this nematic liquid crystal material CN4000, polymerizes while the low molecular weight CLC material remains in the liquid state. As with all other examples, the segregation rate of the liquid crystal material is greater than its polymerization rate of the 30 polymerizable CLC material. The resulting circularly polarizing

polarizer of 830nm, covering the whole visible and near IR spectral band. As with Example 1, the liquid crystal material (E31) remains in the liquid state after curing. After polymerization (i.e. curing), spectral analysis of the resulting 5 circularly polarizing film material was carried out on a Perkin-Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra were taken with the left-hand, right-hand and unpolarized light.

10

### Example 3

The two previous example utilized CLC polysiloxanes which had a left-handed twist sense. In this third example, a CLC polysiloxane having a right-handed helical (twist) sense is 15 utilized. A blue compound (CC4070R) with such a twist sense is commercially available from Wacker, GmbH, Germany and reflects right-handed circular light at 390nm when cured at 70° C. A nematic liquid crystal material (M15) commercially available from EM Industries, Germany, is mixed with the CLC 20 polysiloxane material (CC 4039R) in a ratio of 1:2 by weight with 1% IG184 photoinitiator by weight. The mixture is sandwiched between the plates of a 20 glass cell and cured at a UV intensity of 0.047 mW/cm<sup>2</sup> at 122° C. The resulting circularly polarizing film material reflective of right-hand 25 circularly polarized light, covered a spectral band pass from 520nm to 920nm providing a super broad bandwidth polarizer of 400nm. After curing (i.e. polymerization), the non-polymerizable liquid crystal (M-15) is in the solid state at room temperature. After polymerization, spectral analysis of the 30 resulting samples was carried out on a Perkin-Elmer, Lambda

In this example, the red compound of CLC polysiloxane (CC4070L) mentioned above, is blended with E31 nematic liquid crystal, also mentioned above. The red CC4070L has a left-handed twist sense and reflects at 690nm when cured at 5 70° C. The mixture contains E31/CC4070L in a ratio of 1/2 by weight with 0.6% 1G184 photoinitiator by weight.

Photoinitiator IG184 is commercially available from Ciba Geigy, Hawthorne, New York. The mixture is introduced into a 20 glass cell and cured at an ultraviolet intensity of 0.047mW/cm<sup>2</sup>

10 at a temperature, provided by a hot plate, of 92° C. In this example, only the CLC polysiloxane material is polymerized while the nematic liquid crystal remains in the liquid state.

After polymerization, spectral analysis of the resulting circularly polarizing film material was carried out on a Perkin-15 Elmer, Lambda 19 spectrophotometer. Both transmission and reflection spectra were taken with the left-hand, right-hand and unpolarized light. The resulting polarizer covered a spectral band pass from 560nm to 2160nm providing a super bandwidth polarizer of 1600nm.

20

### Example 2

In this example, the blue compound of CLC polysiloxane (CC 4039L) and nematic liquid crystal (E31) are mixed in a ratio of 2:1 by weight along with photoinitiator (IG184) of 0.6% by weight. A 20 glass cell is used to provide a film of that thickness. The CLC is cured by a UV lamp of 0.047 mW/cm<sup>2</sup> at 92° C. The resulting polarizing layer covered a spectral band pass from 370nm to 1,200nm providing a super bandwidth

reflect lower frequencies than the regions of smaller pitch which reflect higher frequencies. The difference between the highest frequency reflected and the lowest frequency is the bandwidth of the polarizer.

5 As can be seen from a consideration of Fig. 5, the pitch variation within the CLC polymerized film structure is indeed non-linear (here, it is exponential). In this figure, there is shown a graphical representation of the ultraviolet light intensity decay of equation (1) versus the measured pitch  
10 variation of Fig. 4 across the film or layer of Fig. 3. The solid curve in Fig. 5 is a plot of equation (2) using a UV absorbent coefficient which was measured in an independent measurement of layer or film 1. The scale of the curve has been arbitrarily normalized. The variation in pitch as  
15 determined from Fig. 4 is also plotted in Fig. 5. Half of the pitch in CLC film 1, at any point, is determined by the distance between any pair of black or white lines in Fig. 4. Pitch in nanometers (nm) is plotted versus position in micrometers (m) and the square dots show the value of pitch at selected points  
20 in Fig. 5. From Fig. 5, it is seen that the non-linear CLC pitch variation across the polymerized CLC film 1 follows the exponential decay of the solid line curve of the UV intensity caused by light loss therein during the polymerization process. This clearly shows that the pitch of polymerized CLC film 1 at  
25 any point through the thickness of film 1 is directly proportional to the radiation intensity at that point and that the total pitch variation across layer 1 is exponential in character.

Example 1

$$I(x) = I_0 \exp(-\alpha x) \quad (2)$$

where the absorbent coefficient  $\alpha$  includes all light loss sources, such as absorption, scattering, etc. The solution to equation (2) 5 shows an exponential decay in intensity from the front surface to the back surface of the polymerizable CLC layer or film 1.

In Fig. 4, there is shown a drawing of an Atomic Force Microscope (AFM) image which measures the pitch distribution in a polymerized CLC layer or film like layer 1 of Fig. 3. In Fig. 10 4, the front of CLC film or layer 1 is disposed on the right hand side of the drawing. The pitch variation is shown over an arbitrary range of 0-10m to indicate the scale of the values involved. Using a cross-section of the polymerizable CLC layer 1, a probe is scanned across the thickness of the sample and 15 current variations are produced in the probe in response to the pitch variation which is related to the amounts of the liquid crystal which have segregated and diffused during exposure of film 1 to actinic or ultraviolet light. Because the intensity of radiation is the greatest of the right-hand side of film 1, one 20 would expect greater swelling of the CLC material at regions of higher intensity thereby providing sites for the greater accumulation of diffusing liquid crystal material at these sites. As a result, a longer pitch is formed. Of course, at regions of 25 lower and lower intensity, less and less swelling occurs and less and less accumulation of diffusing liquid crystal occurs, resulting in a shorter pitch. When polymerization has gone to completion, film 1 exhibits a non-linear variation in pitch which, in turn, permits the total reflection of incident light over a very large bandwidth. The regions of greater pitch, of course,

of pitch in the linear function. For the same thickness, the band width of the polarizer in which the pitch follows an exponential distribution would be much broader than that of a polarizer having a pitch which is linearly distributed. In Fig. 2, this fact is shown by 5 comparing the typical bandwidth of a polarizer of an illustrative embodiment of the present invention, with the bandwidth of prior art CLC polarizer technology.

In Fig. 2, the reflection spectrum of a prior art polarizer (represented by dashed line) was obtained using a circularly 10 polarized light beam having a handedness that fits the helical sense of the polarizer. However, the spectrum of the circular polarizer of the present invention (represented by the solid line) was measured by using un-polarized light beam. An observation of Fig. 2 clearly shows that the bandwidth of the circular polarizer fabricated in 15 accordance with the teachings of the present invention is over twice the extent of the bandwidth of the prior art circular polarizer, even though their overall thickness is same.

In Figs. 3 through 5, further support is provided for the fact that the non-linear pitch distribution across the CLC polarizing 20 material hereof contributes to its super broad-band characteristics. In Fig. 3, a cross-sectional view of a layer or film of polymerizable CLC is shown, on which electromagnetic radiation like actinic or ultraviolet light,  $I_0$ , is incident. Polymerizable CLC film 1 also includes a nematic liquid crystal and a photoinitiator. Radiation, 25 indicated by 2, in the form of ultraviolet light has an intensity,  $I(x)$ , at some position "x" inside CLC layer 1. Assuming an ultraviolet absorbent coefficient, the following equation (2) can be derived in a well known manner:

Advantageously, the super broad-band polarizers of the present invention can be made using commercially available materials provided the segregation rate of the liquid crystal is greater than the polymerization rate of the material being 5 polymerized.

Super Broad-band Reflecting Characteristics of the CLC Circularly Polarizing Film of the Present Invention

10 It is appropriate at this juncture to briefly reflect on how the non-linear pitch distribution across the CLC polarizing material hereof contributes to its super broad-band characteristics, as well as review some empirically gathered data to support its bandwidth superiority over prior art polarizing technology. With 15 regard to this discussion, reference shall be made to the Figs. 1-5 of the Drawings.

In Fig. 1, a comparison is made between a linear pitch distribution  $P_l(y')$  and an exponential pitch distribution  $P_e(y')$  expressed as a function of reduced distance,  $y'$ . The linear 20 distribution is defined as:

$$P_l(y') = 1+y' = 1+y/d, \quad (1a)$$

whereas the exponential distribution is expressed as:

25

$$P_e(y') = \exp[y'], \quad (1b)$$

respectively, where  $d$  is the film thickness. From this characteristic plot, it is clearly seen that the rate of change of pitch 30 in the exponential function is much steeper than the rate of change

together on a hot plate. In each example, the CLC mixture was introduced into a glass cell that had a buffed polyimide coating for better molecular alignment. Finally, the mixture was cured (e.g. polymerized) at a selected temperature by exposing the mixture

5 to actinic light for a time sufficient to permit polymerization to go to completion. The actinic radiation (UV radiation) used to polymerize the CLC film material, exhibits a non-linear (e.g. exponential) intensity distribution within the CLC film or layer being cured, rather than the linear intensity distribution used 10 during prior art fabrication processes. This is due to light attenuation caused by the materials used to make the CLC mixture layer. Apart from the above, either the liquid crystal material or the CLC material may be in the liquid state in the finally formed polarizers.

15 After mixing at a temperature which keeps the materials in a liquid state and before polymerization, the nematic liquid crystal material is weakly bound to the polymerizable CLC material. In response to actinic radiation exposure, polymerization causes the weakly bound liquid crystals to segregate from the polymerizable 20 CLC and begin to diffuse. The nematic liquid crystal material diffuses to swollen regions of the polymerizable CLC forming liquid crystal-rich sites. The departure of liquid crystal from other regions of the polymerizable CLC leaves liquid crystal-depleted sites. Because the radiation intensity is non-linear (more 25 specifically, an exponential) in character throughout the medium and the higher intensity regions of polymerizable CLC are more swollen than lower intensity regions, the nematic liquid crystal material diffuses preferentially to sites of higher radiation intensity and assumes a non-linear distribution in the polymer 30 CLC material.

liquid crystal material having a cholesteric order (e.g. side-chain cyclic liquid crystal polysiloxanes); (ii) a liquid crystal material having a nematic; and an amount of suitable photoinitiator which satisfies the above-described fabrication constraints.

5 In the illustrative embodiments of the present invention illustrated in the Examples 1-13 described herein, the polymerizable CLC materials used in the manufacturing process are commercially available from Wacker GmbH, Germany, and are polymerized by way of a cationic polymerization process when the 10 polymerizable CLC materials are exposed to ultraviolet radiation in the presence of a photoinitiator. The polymerizable CLC material is available in blue (CC4039L) and red (CC4070L) compounds, both of which have a left-handed spiral structure. The blue compound reflects unpolarized light at 390nm and the red compound reflects 15 unpolarized light at 690nm after UV curing at 70° C. The polymerizable CLC material is also available in a right-handed spiral structure, such as CLC polysiloxane (CC4039R) blue compound. When this compound (CC4039R) is mixed with a left-handed polymerizable CLC, like CC4039L, in a suitable ratio, the 20 resulting CLC film reflects right-handed circularly polarized light when cured at 70° C. Before curing, the raw CLC material exhibits a rubbery state at room temperature and turns to liquid at around 70° C.

Nematic liquid crystal materials for use with the above 25 polymerizable CLC materials are commercially available from EM Industries, Germany, as E31LV and E7.

In the following examples of the illustrative embodiments to be described in detail below, the polymerizable CLC, the nematic liquid crystal material, the photoinitiator (and in one example, a 30 chiral additive) were weighed in a desired ratio and mixed

a function of the nematic E31 concentration thereof cured using a UV intensity of 0.047mW/cm<sup>2</sup> at 92°C.

### **BEST MODES FOR CARRYING OUT THE PRESENT INVENTION**

5

Surprisingly, it has been discovered that in order to produce CLC film material having the super broad-band polarizing reflection and transmission characteristics up to 2000nm, it is essential that the pitch of helices of CLC molecules within the CLC 10 film material must vary non-linearly along the depth thereof.

Unexpectedly, Applicants have also discovered that the super broad-band circularly polarizing material of the present invention can be produced by: (1) eliminating the use of ultraviolet dyes in the CLC (raw) starting mixture; and (2) using 15 polymerizable CLC materials, non-cross linkable liquid crystal materials (of the nematic phase), and a suitable photoinitiator in an amount which ensures, in the presence of a known amount of incident actinic radiation employed during polymerization (I.e. curing), that the polymerization rate of the polymerizable CLC will 20 be slower than the segregation rate of the liquid crystal material. By satisfying these conditions, it has been found that circularly 25 polarizing film material having super broad-band reflection characteristics can be made in a wide variety of ways without departing from the present invention. The details of the novel fabrication processes hereof will be described herein below.

### **General Method of Fabricating CLC Polarizing Film Material Hereof**

The general method of fabricating the super broad-band circularly polarizing material of the present invention involves 30 mixing together the following constituents: (i) a polymerizable

Fig. 1 shows, as dashed line, a graph of the exponential pitch variation of the helices of the liquid crystal material inside a super broad-band polarizer of the present invention plotted as a function of reduced distance therein, and as a solid 5 line, a graph of the linear pitch variation of the helices of the liquid crystal material inside a prior art broad-band polarizer plotted as a function of reduced distance therein;

Fig. 2 shows, as a solid line, a graph of the reflectivity of light off a super broad-band polarizer of the present invention 10 plotted as a function of wavelength, and as a dashed line, a graph of the reflectivity of light off a prior art broad-band polarizer plotted as a function of wavelength;

Fig. 3 is a cross-sectional view of a layer of CLC material during polymerization comprising a mixture of polymerizable 15 CLC, liquid crystal material (of nematic phase) and a photoinitiator, with actinic radiation (e.g. ultraviolet light) incident on the surface thereof having an intensity ( $I_0$ ) and an intensity,  $I(x)$ , at position "x" inside the CLC layer;

Fig. 4 is a schematic representation of an image of a 20 polymerized film obtained using an Atomic Force Microscope (AFM) which measures pitch distribution of the helices of the liquid crystal material in the polymerized CLC film, with the distance between any pair of dark lines representative of one half of the CLC pitch;

25 Fig. 5 shows, as black squares, a graph of pitch variation across a layer of polymerized CLC film, and also as a solid line, a graph of the normalized UV intensity decay across the same layer of CLC film;

Fig. 6 is a graphical representation of the reflective 30 bandwidth of a 20 micron circularly polarizing film plotted as

occurs in a non-linear fashion, thereby resulting in a non-linear distribution of the polymer and the liquid crystal material across the film. During polymerization, phase separation takes place. The segregation rate of the liquid crystal material is 5 designed to be greater than the polymerization rate of the polymerizable CLC being polymerized. Thus, the liquid crystal material segregates and diffuses to sites of enlarged pitch in the polymerizable CLC material from sites of shrunken pitch in the polymerizable CLC material. Consequently, an 10 exponentially distributed pitch is generated from one surface to the other of the polymerizable CLC film. It has been demonstrated that the novel composition of this circularly polarizing reflective material provides for its markedly improved, super broad-band reflection and transmission 15 characteristics.

While it is believed that any non-linear distribution of pitch of the helices of the liquid crystal material will produce super broad-band circularly polarizing material in accordance with the teachings of the present invention, the preferred 20 embodiments thereof disclosed herein each have an exponentially distributed pitch extending from one surface of the polarizing film to the other surface thereof.

25

### BRIEF DESCRIPTION OF THE DRAWINGS

In order to more fully understand the objects and features of the present invention, the following Detailed Description of the Illustrative Embodiments should be read in 30 conjunction with the accompanying Drawings, wherein:

the like.

According to a second aspect of the present invention, a novel method is disclosed for fabricating the super broad-band circularly polarizing material of the present invention.

- 5 According to the method, a cholesteric liquid crystal (CLC) polymer (having a cholesteric order) is mixed with non-cross linkable liquid crystal material (e.g. having a nematic order), a photoinitiator, and a chiral additive which may or may not be chemically attached to the polymer at a temperature which
- 10 maintains the mixture in a liquid state having a cholesteric order. Preferably, the liquid crystal material and polymerizable CLC chemically attached with the chiral additive (chiral group) are present in a ratio by weight of 1:2 but may be present in a ratio range by weight of 3:1 to 1:6 depending on
- 15 all the conditions involved. Preferably, the photoinitiator is present in an amount of 0.6% by weight in the mixture but may be present in higher or lower amounts so long as the amount is sufficient to initiate polymerization of the polymerizable CLC material. In general, the amount of the photoinitiator
- 20 introduced into the mixture should be such that, when the mixture is exposed to actinic radiation, the polymerization rate of the polymerizable CLC is slower than the segregation rate of the liquid crystal material.

While heating the mixture to, for example 92°C, in order

- 25 to retain its cholesteric order, the mixture is subjected to actinic radiation (e.g. ultraviolet light) for a time and at an intensity sufficient to polymerize the polymerizable CLC or the liquid crystal material or both. Because the actinic light has an exponential intensity distribution due to light attenuation by,
- 30 for example, light absorption and/or scattering, polymerization

up to about 2000nm.

These and other Objects of the Present Invention will become apparent hereinafter and in the Claims to Invention.

In accordance with a first aspect of the present invention,

- 5 circularly polarizing material of novel construction is disclosed. Unlike any prior art reflective circular polarizer, the circularly polarizing material of the present invention has reflection and transmission bandwidth characteristics that extend over a super broad-band of wavelengths (e.g. up to 2000nm). The
- 10 circularly polarizing material of the present invention is made from a film of polymerizable material having a cholesteric order (e.g. polymerizable CLC film), in which non-cross linkable liquid crystal molecules (e.g. having a nematic phase) are distributed in a non-linear fashion in a plurality of liquid
- 15 crystal-rich and liquid crystal-depleted sites across the thickness of the polymerizable CLC film. Depending on the final spiral structure of the polymerizable CLC materials utilized, the resulting circularly polarizing films of the present invention will reflect either left-handed or right-handed
- 20 circularly polarized light having wavelengths within the above-described super broad-band portion of the electromagnetic spectrum.

The super broad-band, circularly polarizing reflective material of the present invention can be used to fabricate

- 25 numerous types of products, namely: super broad-band circularly polarizing panels; super broad-band color filters; super broad-band circularly polarizing pigment flakes used as colorants in inks and/or paints; glare-reducing sunglasses; micropolarization panels and polarizing eyewear used in SMI-
- 30 based stereoscopic 3-D display and viewing applications; and

manufacturing cost.

Another object is to provide a method of fabricating circularly polarizing material having extremely broad-band spectral band-pass characteristics, low optical loss properties, 5 high polarizing efficiency, simplified fabrication, and low manufacturing cost.

Another object is to provide a method of fabricating extremely broad-band polarizers using a mixture of polymerizable CLC, liquid crystal material and a photoinitiator, 10 wherein during polymerization of the polymerizable CLC, the segregation rate of the liquid crystal material is constrained to be greater than the polymerization rate of the polymerizable CLC being polymerized.

Another object is to provide a method of fabricating 15 extremely broad-band polarizers, in a way which does not employ ultraviolet dye during the manufacture thereof.

Another object is to provide a method of fabricating super broad-band circularly polarizing material, wherein the polymerizable CLC being polymerized is exposed to a non-linear 20 (e.g. exponential) intensity gradient of actinic (e.g. UV) radiation by virtue of light loss within the polymerizable CLC medium, thereby causing a non-linear variation in pitch of helices of the CLC molecules therein.

Another object is to provide a method of fabricating 25 super broad-band circularly polarizing material, using commercially available constituent cholesteric liquid crystal polymers and liquid crystal material.

Yet another object is to provide a method of fabricating free standing circularly polarizing film having reflection and 30 transmission characteristics over a super broad-band extending

of the CLC molecules varies in a non-linear manner along the depth dimension (i.e. transverse to the surface) of the CLC film structure.

Another object of the present invention is to provide such 5 circularly polarizing material in the form of an extremely broad-band polarizing ink and/or paint.

Another object of the present invention is to provide a palette of CLC-based colored paints and/or inks, for use in various color applications including painting, printing and the 10 like.

Another object is to provide super broad-band circular (or linear) polarizers realized on CLC film structures and having notch-characteristics suitable for color filtering and imparting applications.

15 Another object is to provide super broad-band CLC polarizing films which have reflection and transmission bandwidths that are over twice the extent of the prior art CLC polarizing films of the same overall thickness.

Another object is to provide single layer polarizers of 20 extremely broad bandwidth wherein the liquid crystal component of the polarizer assumes a non-linear distribution across the thickness of the polarizer.

Another object is to provide such circularly polarizing material, wherein the liquid crystal material may be non- 25 polymerizable or of low molecular weight in the present invention.

Another object is to provide a method of fabricating circularly polarizing material having extremely broad-band spectral reflection and transmission characteristics, low optical 30 loss properties, high polarizing efficiency and low

thin film structures, such bandwidth characteristics are inadequate in numerous applications where bandwidth characteristics up to five times greater are required. Also, such prior art fabrication methods require that the constituent 5 materials both be polymerizable, restricting the many types of commercially available material that can be used during manufacture.

Thus, there is a great need in the art for circularly polarizing film material having reflection and transmission 10 characteristics over a bandwidth that is substantially greater than the bandwidth provided by all prior art circularly polarizing material.

#### DISCLOSURE OF THE INVENTION

15

Accordingly, it is a primary object of the present invention to provide a circularly polarizing material which has a reflection and transmission bandwidth characteristics that are substantially greater than the reflection and transmission 20 bandwidth characteristics of prior art circularly polarizing CLC material.

Another object is to provide such circularly polarizing material, having reflection and transmission bandwidth characteristics approaching 2000nm.

25 Another object is to provide such circularly polarizing material having improved spectral and band-pass position characteristics.

Another object is to provide circularly polarizing material having such bandwidth characteristics, and being realized in a 30 single thin film of CLC material in which the pitch of the helices

variation in pitch provides the optically active layer with a bandwidth proportional to the variation in the pitch of the molecular helices. In thin CLC film structures, the maximum bandwidth variation achievable using this prior art fabrication 5 technique is about 400nm.

According to the second fabrication method disclosed in the Phillips reference, the spontaneous diffusion of monomers into a polymerizable CLC film is followed by UV polymerization. This fabrication method is carried out by depositing a film of 10 reactive monomers on the surface of a polymerized film of CLC material. The diffusion of monomers into the CLC film layer causes a concentration gradient in the layer before diffusion is halted. As a result, the original CLC layer swells slightly causing an increase in pitch of the molecular helices. This 15 provides a concentration gradient which, in turn, results in a "linear variation" in pitch across the film thickness.

Polymerization of the layer by actinic radiation halts diffusion providing a broad-band polarizer having reflection characteristics approaching 400nm in thin CLC film structures.

20 Notably, in fabrication techniques disclosed in the Phillips reference described above, the two principal materials utilized in the starting mixtures thereof are characterized as monomers having different reactivities. Moreover, when a dye is not utilized in the fabrication processes of the Phillips reference, a 25 diffusion gradient is not established and both of the principal materials are polymerized, resulting in a narrow band polarizer.

While the above described Phillips reference discloses several methods for fabricating CLC-based circularly polarizing 30 film having reflection characteristics approaching 400nm in

requires adding a UV dye into the CLC mixture in order that the pitch of the CLC material change "linearly" from its maximum value at one film surface to its minimum value at the other film surface, wherein the difference between the maximum pitch 5 and minimum pitch is greater than 100nm.

According to the first fabrication technique disclosed in the Phillips reference, prior art CLC polarizing material is formed from two polymerizable chiral and nematogenic monomers, each of which has a different reactivity. During 10 polymerization of the mixture by means of actinic radiation, a linear variation in actinic radiation intensity (i.e. a linear actinic radiation intensity gradient) is realized across the optically active layer of film by introducing an ultraviolet (UV) absorbing dye into the original mixture. This linear radiation 15 intensity gradient causes the most reactive monomer to be preferentially incorporated into the least reactive monomer to occur at the locations of the highest radiation intensity. As a result, at least one concentration gradient of free monomers is formed during polymerization, causing the monomer to diffuse 20 from locations with a low monomer concentration to location with a high concentration. The monomers of high reactivity diffuse to locations where the radiation intensity is highest. This diffusion process results in an increase in reactive monomers in areas of the formed polymer material where, 25 during polymerization, the radiation intensity is highest. As a result, the composition of the material varies in a direction transverse to the surfaces of the film such that a "linear variation" in the pitch of the molecular helices results in the layer formed by the polymer. The liquid crystal material is 30 distributed linearly across the thickness of the film. This

**“SUPER BROAD-BAND  
POLARIZING REFLECTIVE MATERIAL”**

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**BACKGROUND OF THE INVENTION**

**Technical Field**

10 The present invention relates generally to circularly polarizing reflective material made from single layer Cholesteric Liquid Crystal (CLC) film material having “super” broad-band reflection and transmission band characteristics approaching 2000nm, and also to various novel methods for 15 fabricating and using the same in diverse applications.

**Background Art**

In the modern world, there are numerous applications which require circularly polarizing material having broad-band 20 reflection and transmission characteristics. Such applications range from polarizing filters used in optical systems, to highly reflective pigments used in the manufacture of CLC-based paints and inks.

A detailed review of the prior art literature reveals that 25 European Patent Application 94200026.6 entitled “Cholesteric Polarizer and Manufacture Thereof”, published July 20, 1994 and assigned to Philips Electronics, N.V. of Eindhoven, Netherlands (the “Phillips reference”), is the most relevant prior art reference as it discloses several methods on how to 30 make a single layer CLC film material having broad-band reflection and transmission characteristics. In order to achieve its broad-band reflection and transmission characteristics, which are limited to about 400nm, the Phillips disclosure

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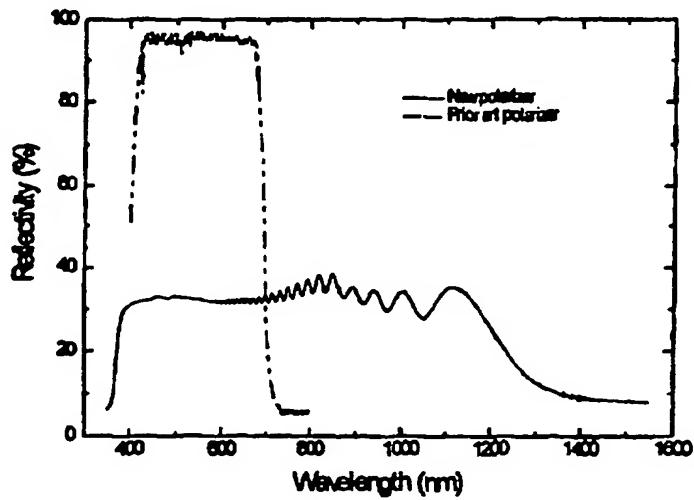
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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :  G02F 1/13, 1/1335, G02B 5/30, 27/28, C09K 19/36, G02C 7/12, F21V 9/14		A1	(11) International Publication Number: <b>WO 97/16762</b>  (43) International Publication Date: 9 May 1997 (09.05.97)
(21) International Application Number: PCT/US96/17464		(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 29 October 1996 (29.10.96)			
(30) Priority Data: 08/550,022 30 October 1995 (30.10.95) US			
(71) Applicant (for all designated States except US): REVEO, INC. [US/US]: 8 Skyline Drive, Hawthorne, NY 10530 (US).			
(72) Inventors; and		Published	
(75) Inventors/Applicants (for US only): LI, Le [CN/US]; 3491 Overlook Avenue, Yorktown Heights, NY 10598 (US). JIANG, Yingqiu [CN/US], 27-P Scenic Drive, Croton on Hudson, NY 10520 (US). FARIS, Sadeg, M. [US/US]; 24 Pocantic River Road, Pleasantville, NY 10570 (US).		With international search report.	
(74) Agent: PERKOWSKI, Thomas, J., 10 Waldon Road, Darien, CT 06820 (US).			

(54) Title: SUPER BROAD-BAND POLARIZING REFLECTIVE MATERIAL



## (57) Abstract

Disclosed are super broadband circularly polarizing film materials and novel methods of fabricating and using the same. The circularly polarizing materials are made from a film of material, such as a CLC polymer having a cholesteric order, in which a liquid crystal material, such as a nematic liquid crystal material, is distributed in a non-linear fashion across the thickness of the film in a plurality of liquid crystal-rich and liquid crystal-depleted sites in the CLC polymer. The pitch of the hélices of the CLC molecules in the polymerized CLC material varies in a non-linear (e.g. exponential) manner along the depth dimension (i.e. transverse to the surface) thereof. The resulting circularly polarizing materials have reflection and transmission characteristics over bands of operation approaching 2000 nm. Depending on the final spiral structure of the materials utilized, the CLC circularly polarizing materials reflect either left-handed or right-handed circularly polarized light.